

Universal Quantitative Algebra

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Universal Algebra

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Monad Presentations

Conclusion

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A **signature** Σ is a set of operation symbols, each with an arity, we write $op : n \in \Sigma$ for an operation of arity $n \in \mathbb{N}$ belonging to Σ .

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- ▶ A **(join)-semilattice** is a set S equipped with an associative, commutative and idempotent binary operation $\oplus : S \times S \rightarrow S$. It is a $\Sigma_{\mathcal{P}}$ -algebra where $\Sigma_{\mathcal{P}} = \{\oplus : 2\}$ with some nice properties.

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- ▶ A **convex algebra** is a set C equipped with binary operations $+_p : C \times C \rightarrow C$ for every $p \in [0, 1]$ that satisfy *skewed* associativity, commutativity, and idempotence. It is a $\Sigma_{\mathcal{D}}$ -algebra where $\Sigma_{\mathcal{D}} = \{+_p : 2 \mid p \in [0, 1]\}$ with some nice properties.

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- ▶ A **pointed set** is a set X equipped with a constant $x \in X$ that we can identify with a function $x : X^0 \rightarrow X$. It is a Σ_{-+1} -algebra where $\Sigma_{-+1} = \{\star : 0\}$.

Definition

The set of Σ -terms over a set X is defined inductively:

$$\frac{x \in X}{x \in T_{\Sigma}X} \qquad \frac{t_1 \in T_{\Sigma}X \quad \cdots \quad t_n \in T_{\Sigma}X}{\text{op}(t_1, \dots, t_n) \in T_{\Sigma}X}$$

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The interpretation of operations in an algebra A lifts to terms of $T_{\Sigma}A$:

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In algebra, we often prove things like: “Suppose $g = g^n$, then ...” Thus, we ask:

Question

Let $s, t \in T_{\Sigma}A$, if we know $\llbracket s \rrbracket = \llbracket t \rrbracket$, what else can we derive?

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Example

A semilattice is an algebra for $\Sigma_{\mathcal{P}} = \{\oplus : 2\}$ satisfying the following equations:

$$x \vdash x \oplus x = x \quad (\text{idempotent})$$

$$x, y \vdash x \oplus y = y \oplus x \quad (\text{commutative})$$

$$x, y, z \vdash x \oplus (y \oplus z) = (x \oplus y) \oplus z \quad (\text{associative})$$

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If E is a set of equations over Σ , and we know a Σ -algebra \mathbb{A} satisfies all of E , what other equations does \mathbb{A} satisfy?

Birkhoff's Equational Logic

$$\frac{}{X \vdash t = t} \text{ Refl}$$

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$$\frac{\text{op} : n \in \Sigma \quad \forall i \in [n], X \vdash s_i = t_i}{X \vdash \text{op}(s_1, \dots, s_n) = \text{op}(t_1, \dots, t_n)} \text{ Cong}$$

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Theorem

Equational logic is **sound** and **complete**. Namely, if E is a set of equations and \bar{E} is its closure under equational logic, then:

$$\mathbb{A} \text{ satisfies } E \implies \mathbb{A} \text{ satisfies } \bar{E}$$

$$(\forall \mathbb{A}, \mathbb{A} \text{ satisfies } E \implies \mathbb{A} \text{ satisfies } X \vdash s = t) \implies X \vdash s = t \in \bar{E}.$$

Free Algebras

Given an **algebraic theory** (Σ, E) , the free (Σ, E) -algebra over a set X is given by

$$T_{\Sigma}X / \equiv_E,$$

where \equiv_E is the smallest congruence generated by E , it can be described concretely with equational logic:

$$\equiv_E = \{(s, t) \mid X \vdash s = t \in \bar{E}\}.$$

Examples

- ▶ The free semilattice (i.e. idempotent, commutative and associative $\Sigma_{\mathcal{P}}$ -algebra) on a set X is $\mathcal{P}(X)$ where \oplus is interpreted as the union.
- ▶ The free convex algebra on X is the set $\mathcal{D}X$ of finitely supported distributions on X where $\varphi +_p \psi = p\varphi + (1 - p)\psi$.

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We switch from the category **Set** to **Met** (or a similar notion of metric spaces).

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Definition

A **quantitative Σ -algebra** is a metric space (A, d) and a Σ -algebra on the same carrier, i.e. interpretations $\llbracket \text{op} \rrbracket : A^n \rightarrow A$ for every $\text{op} : n \in \Sigma$.

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Example

Let $\Sigma_{\mathcal{D}} = \{+_p : 2\}_{p \in (0,1)}$ and (A, d) be a metric space. We denote by $(\mathcal{D}A, \hat{d})$ the space of finite probability distributions on A with the Kantorovich metric.

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$$\llbracket +_p \rrbracket : \mathcal{D}A \times \mathcal{D}A \rightarrow \mathcal{D}A = (\varphi, \psi) \mapsto p\varphi + (1-p)\psi$$

yield a quantitative $\Sigma_{\mathcal{D}}$ -algebra.

We can now work with more information on terms: equality and distance. Thus we ask:

Question

Let (A, d) be a metric space and $s, t \in T_{\Sigma}A$. If we know $d(\llbracket s \rrbracket, \llbracket t \rrbracket) \leq \varepsilon$, what else can we derive?

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The information on distance is now also relevant on variables, e.g.:

Question

If we know $d(\llbracket s \rrbracket, \llbracket t \rrbracket) \leq \varepsilon$ but only if the variables x and y are at distance δ , what else can we derive?

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We introduce a binary predicate $=_\varepsilon$ which we interpret as the two inputs having distance *at most* ε , and the context (variables) is now a metric space.

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The convex algebra on (DA, \widehat{d}) satisfies:

$$x =_\varepsilon x', y =_\delta y' \vdash x +_p y =_{p\varepsilon + (1-p)\delta} x' +_p y'.$$

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Quantitative Equational Logic

To the (slightly modified) rules of equational logic, we add:

$$\begin{array}{c}
 \frac{}{\mathbf{X} \vdash s =_{\infty} t} \quad \frac{d_{\mathbf{X}}(x, y) \leq \varepsilon}{\mathbf{X} \vdash x =_{\varepsilon} y} \text{Vars} \quad \frac{\mathbf{X} \vdash s =_{\varepsilon} t \quad \varepsilon \leq \varepsilon'}{\mathbf{X} \vdash s =_{\varepsilon'} t} \text{Max} \\
 \frac{\forall i, \mathbf{X} \vdash s =_{\varepsilon_i} t \quad \varepsilon = \inf_i \varepsilon_i}{\mathbf{X} \vdash s =_{\varepsilon} t} \text{OC} \\
 \frac{\mathbf{X} \vdash s = t \quad \mathbf{X} \vdash s =_{\varepsilon} u}{\mathbf{X} \vdash t =_{\varepsilon} u} C_{\ell} \quad \frac{\mathbf{X} \vdash s = t \quad \mathbf{X} \vdash u =_{\varepsilon} s}{\mathbf{X} \vdash u =_{\varepsilon} t} C_r \\
 \frac{\sigma : X \rightarrow T_{\Sigma} Y \quad \mathbf{X} \vdash s =_{\varepsilon} t \quad \mathbf{Y} \vdash \sigma(x) =_{d_{\mathbf{X}}(x, x')} \sigma(x')}{\mathbf{Y} \vdash \sigma^*(s) =_{\varepsilon} \sigma^*(t)} \text{Sub}
 \end{array}$$

$$\frac{\mathbf{X} \vdash s =_{\varepsilon} t}{\mathbf{X} \vdash t =_{\varepsilon} s} \quad \frac{}{\mathbf{X} \vdash t =_0 t} \quad \frac{\mathbf{X} \vdash s =_0 t}{\mathbf{X} \vdash s = t} \quad \frac{\mathbf{X} \vdash s =_{\varepsilon} t \quad \mathbf{X} \vdash t =_{\varepsilon'} u}{\mathbf{X} \vdash s =_{\varepsilon + \varepsilon'} u}$$

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$$\left(\frac{\mathbf{X} \vdash s =_{\varepsilon} t}{\mathbf{X} \vdash t =_{\varepsilon} s} \quad \frac{}{\mathbf{X} \vdash t =_0 t} \quad \frac{\mathbf{X} \vdash s =_0 t}{\mathbf{X} \vdash s = t} \quad \frac{\mathbf{X} \vdash s =_{\varepsilon} t \quad \mathbf{X} \vdash t =_{\varepsilon'} u}{\mathbf{X} \vdash s =_{\varepsilon + \varepsilon'} u} \right)$$

Theorem

Quantitative equational logic is sound and complete.

Free Quantitative Algebras

Given a **quantitative algebraic theory** (Σ, E) , the free quantitative (Σ, E) -algebra over a metric space \mathbf{X} is given by

$$(T_{\Sigma}X / \equiv_E, d_E),$$

where \equiv_E and d_E are a congruence and metric generated by E with quantitative equational logic:

$$\begin{aligned}\equiv_E &= \{(s, t) \mid \mathbf{X} \vdash s = t \in \bar{E}\} \\ d_E([s], [t]) &= \inf \{\varepsilon \in [0, \infty] \mid \mathbf{X} \vdash s =_{\varepsilon} t \in \bar{E}\}.\end{aligned}$$

Axiomatization of Hausdorff Distance

The Hausdorff lifting takes a metric on X to a metric on $\mathcal{P}X$:

$$(X, d) \mapsto (\mathcal{P}X, d_H) \text{ where } d_H(S, T) = \max \left\{ \max_{x \in S} \min_{y \in T} d(x, y), \max_{y \in T} \min_{x \in S} d(x, y) \right\}.$$

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The quantitative $\Sigma_{\mathcal{P}}$ -algebra $(\mathcal{P}X, d_H)$ (\oplus is union again) is the free algebra over (X, d) in the following theory:

$x \vdash x \oplus x = x$	(idempotent)
$x, y \vdash x \oplus y = y \oplus x$	(commutative)
$x, y, z \vdash x \oplus (y \oplus z) = (x \oplus y) \oplus z$	(associative)
$x =_{\varepsilon} x', y =_{\varepsilon'} y' \vdash x \oplus y =_{\max\{\varepsilon, \varepsilon'\}} x' \oplus y'$	(Hausdorff)

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In all these algebras, \oplus is a nonexpansive operation $(X, d)^2 \rightarrow (X, d)$.

Axiomatization of Not Hausdorff Distance

After removing that last quantitative equation, the free algebras are given by

$$(X, d) \mapsto (\mathcal{P}X, \hat{d}) \text{ where } \hat{d}(S, T) = \begin{cases} 0 & S = T \\ d(x, y) & S = \{x\} \text{ and } T = \{y\} . \\ 1 & \text{otherwise} \end{cases}$$

Axiomatization of ŁK Distance

The Łukaszyk–Karmowski lifting takes a dislocated metric on X to a dislocated metric on $\mathcal{D}X$ (the set of finitely supported distributions on X):

$$(X, d) \mapsto (\mathcal{D}X, d_{\text{ŁK}}) \text{ where } d_{\text{ŁK}}(\varphi, \psi) = \sum_{x, x' \in X} \varphi(x)\psi(x')d(x, x').$$

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The quantitative $\Sigma_{\mathcal{D}}$ -algebra $(\mathcal{D}X, d_{\text{ŁK}})$ ($+_p$ is convex combination) is the free algebra over X in the following theory:

$$x \vdash x +_p x = x \quad (\text{idempotent})$$

$$x, y \vdash x +_p y = y +_{1-p} x \quad (\text{skew comm.})$$

$$x, y, z \vdash (x +_q y) +_p z = x +_{pq} \left(y +_{\frac{p(1-q)}{1-pq}} z \right) \quad (\text{skew assoc.})$$

$$x =_{\varepsilon_1} y, x =_{\varepsilon_2} z \vdash x =_{p\varepsilon_1 + (1-p)\varepsilon_2} y +_p z \quad (\text{ŁK})$$

Universal Algebra

Universal Quantitative Algebra

Monad Presentations

Conclusion

Theorem

There is a correspondence between algebraic theories (a signature Σ and axioms E) and finitary monads $T_{\Sigma,E} : \mathbf{Set} \rightarrow \mathbf{Set}$ such that Σ -algebras satisfying E correspond to $T_{\Sigma,E}$ -algebras.

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Examples

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- ▶ A semilattice is an algebra for the non-empty finite powerset monad $\mathcal{P} : \mathbf{Set} \rightarrow \mathbf{Set}$.
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- ▶ A pointed set is an algebra for the maybe monad $- + \mathbf{1} : \mathbf{Set} \rightarrow \mathbf{Set}$.

Set Presentations

Given an algebraic theory (Σ, E) , the free algebra monad $T_{\Sigma, E}$ is given by

$$X \mapsto T_{\Sigma}X / \equiv_E, \text{ where } \equiv_E = \{(s, t) \mid X \vdash s = t \in \bar{E}\}.$$

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Definition

A monad M on **Set** is **presented** by (Σ, E) if there is a monad isomorphism $\rho : T_{\Sigma, E} \cong M$.

Met Presentations

Given a quantitative algebraic theory (Σ, \hat{E}) , the free quantitative algebra monad $\hat{T}_{\Sigma, \hat{E}}$ is given by

$$\mathbf{X} \mapsto (T_{\Sigma}X / \equiv_{\hat{E}}, d_{\hat{E}}), \text{ where } \begin{aligned} \equiv_{\hat{E}} &= \{(s, t) \mid \mathbf{X} \vdash s = t\} \\ d_{\hat{E}}([s], [t]) &= \inf \{\varepsilon \in [0, \infty] \mid \mathbf{X} \vdash s =_{\varepsilon} t\}. \end{aligned}$$

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Lifting Presentations

Let (M, η, μ) be a monad on **Set**, and (Σ, E) be an algebraic presentation for it via $\rho : T_{\Sigma, E} \cong M$.

Definitions

A **monad lifting** of M to **Met** is a monad $\widehat{M} : \mathbf{Met} \rightarrow \mathbf{Met}$ whose functor, unit and multiplication coincide with those of M after applying $U : \mathbf{Met} \rightarrow \mathbf{Set}$.

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A **quantitative extension** of E is a quantitative algebraic theory \widehat{E} on the same signature Σ satisfying for all $\mathbf{X} \in \mathbf{Met}$ and $s, t \in T_{\Sigma}X$,

$$X \vdash s = t \in E \iff \mathbf{X} \vdash s = t \in \widehat{E}.$$

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Theorem

There is a correspondence between monad liftings of M and quantitative extensions of E .

Extension to Lifting (Easy)

- ▶ The equivalence

$$X \vdash s = t \in E \iff \mathbf{X} \vdash s = t \in \hat{E}$$

really says that $\equiv_E = \equiv_{\hat{E}}$, so the functors $T_{\Sigma, E}$ and $\hat{T}_{\Sigma, \hat{E}}$ agree on sets.

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- ▶ It follows from the syntactic definitions that the units and multiplications also coincide, hence $\widehat{T}_{\Sigma, \widehat{E}}$ is a monad lifting of $T_{\Sigma, E}$.
- ▶ Via the isomorphism $\rho : T_{\Sigma, E} \cong M$, we can construct the monad lifting by

$$\widehat{M}(X, d) = (MX, \widehat{d}), \text{ where } \widehat{d}(m, m') = d_{\widehat{E}}(\rho^{-1}m, \rho^{-1}m').$$

Lifting to Extension

- ▶ Put some equations in \widehat{E} :

For all $X \vdash s = t \in E$, add $\mathbf{X}_\perp \vdash s = t$ to \widehat{E} .

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For all $(X, d) \in \mathbf{Met}$ and $s, t \in T_\Sigma X$, add $(X, d) \vdash s =_{\widehat{d}(\rho[s], \rho[t])} t$ to \widehat{E} .

- ▶ Show that nothing else is entailed by exhibiting $\widehat{M}(\mathbf{X})$ as the free Σ -algebra satisfying \widehat{E} generated by \mathbf{X} .

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Conclusion

- ▶ Make the result more categorical in flavor.
- ▶ What about infinitary theories?
- ▶ What about composing monads?
- ▶ Further simplify the entry point to quantitative algebraic reasoning.

Merci !